



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/965,854 | 10/01/2001 | Kurt A. Zarefoss | 82001-0189 |

24633
HOGAN & HARTSON LLP
IP GROUP, COLUMBIA SQUARE
555 THIRTEENTH STREET, N.W.
WASHINGTON, DC 20004

CONFIRMATION NO. 4038

FORMALITIES LETTER



OC00000006997128

Date Mailed: 10/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

04/01/2002 BSAYAS11 00000087 09965854

02 FC:105

130.00 0P

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PATENT
ATTORNEY DOCKET NO.: 82001-0189



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|--|---|-------------------------|
| Re Application of: Kurt A. ZAREFOSS et al. |) | |
| |) | |
| Application No.: 09/965,854 |) | Group Art Unit: 2161 |
| |) | |
| Filing Date: October 1, 2001 |) | Examiner: Not Yet Known |
| |) | |
| For: SYSTEM AND METHOD FOR |) | |
| SUPPLY CHAIN MANAGEMENT, |) | |
| INCLUDING COLLABORATION |) | |

Commissioner for Patents
Box: Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

This is in response to the Notice to Filing Missing Parts, dated October 30, 2001, in the above-identified application. Also enclosed with this Response is a Petition for a Three-Month Extension of Time for the prescribed petition fee of \$920.00 as designated under 37 CFR §1.17(a)(2).

1. Combined Declaration and Power of Attorney
☒ Enclosed.
This application was filed without an executed Declaration and Power of Attorney.
2. Assignment
☒ An assignment of the invention to Manugistics, Inc. and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.
☐ An assignment will be filed at a later date.

04/01/2002 BSAYASI1 00000087 09965854
01 FC:117 920.00 OP

Repln. Ref: 04/01/2002 BSAYASI1 0012355000
DAH:501349 Name/Number:09965854
FC: 704 \$740.00 CR

3. Fee Calculation (37 C.F.R. § 1.16)

| CLAIMS FOR FEE CALCULATION | | | | |
|--|--------------|--------------|----------------|-------------------------------|
| | Number Filed | Number Extra | at Rate of | Basic Fee Utility \$740.00 |
| Total Claims (37 C.F.R. §1.16(c)) | 20 - 20 = | 0 | \$ 18.00 each= | +\$ 0.00 |
| Independent Claims (37 C.F.R. §1.16(b)) | 3 - 3 = | 0 | \$ 84.00 each= | +\$ 0.00 |
| Multiple dependent claim(s), if any (37 C.F.R. §1.16(d)) | | | \$ 280.00 | + |
| SUB-TOTAL = | | | | \$ 740.00 |
| Surcharge under 37 C.F.R. § 1.16(e) | | | \$ 130.00 | \$ 130.00 |
| Reduction by 1/2 for filing by a small entity | | | | -\$ |
| TOTAL FILING FEE = | | | | \$ 870.00 |

4. Fee Payment

- ☒ Enclosed.
A check in the amount of \$1790.00 is enclosed as set forth in 37 C.F.R. 1.16(e) (including surcharge \$130.00, and three-month extension of time \$920.00).
- ☒ If an Assignment is being filed as noted in paragraph 2 above, a separate check in the amount of \$40.00 is enclosed.
- ☐ Authorization is given to charge \$ to Deposit Account 50-1349 for the fees due as specified above.

5. ☒ **Except** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-1349. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. §1.136(a)(3)

HOGAN & HARTSON LLP, 04-00
PATENT ACCOUNT-DC OFFICE
1555 13TH STREET, N.W.
WASHINGTON, DC 20004

BANK OF AMERICA
WASHINGTON, DC 20006
15-120540

10451

3/29/2002

PAY TO THE
ORDER OF Commissioner of Patents and Trademarks

\$ **1,790.00

One Thousand Seven Hundred Ninety and 00/100*****

DOLLARS 10451

OVER \$1000 REQUIRES 2 SIGNATURES

MEMO 82001.0189 Fee/Surcharge/EOT

⑈010451⑈ ⑆054001204⑆ 001920552174⑈